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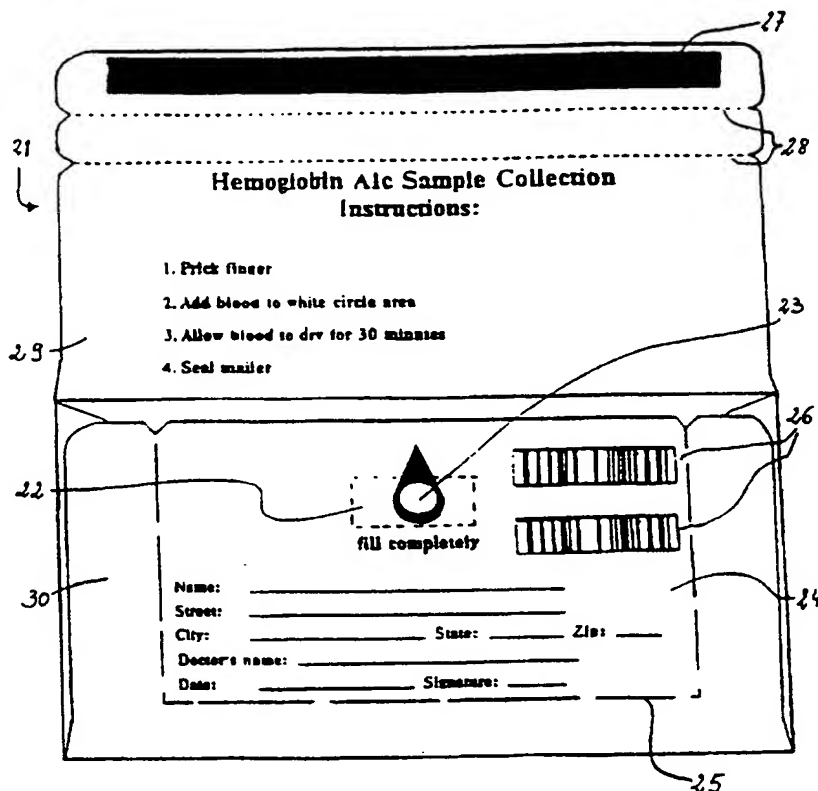
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>B01L 3/00, G01N 33/483, A61B 10/00, G01N 1/08</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 97/19754</b> <b>(43) International Publication Date:</b> 5 June 1997 (05.06.97)
<b>(21) International Application Number:</b> PCT/EP96/05185 <b>(22) International Filing Date:</b> 23 November 1996 (23.11.96)  <b>(30) Priority Data:</b> 60/007,549 27 November 1995 (27.11.95) US  <b>(71) Applicants:</b> BOEHRINGER MANNHEIM GMBH [DE/DE]; D-68298 Mannheim (DE). BOEHRINGER MANNHEIM CORPORATION [US/US]; 9115 Hague Road, Indianapolis, IN 46250 (US).  <b>(72) Inventors:</b> LERCH, Rolf; Kanzelbachstrasse 22, D-68549 Ilvesheim (DE). BAINCZYK, Gregor; Siegfriedstrasse 42, D-68199 Mannheim (DE). WIELINGER, Hans; Im Langgewann 7, D-69469 Weinheim (DE). BUSH, Jack; 7524 Linden Court, Fishers, IN 46038 (US).  <b>(74) Common Representative:</b> BOEHRINGER MANNHEIM GMBH; Patent Dept., D-68298 Mannheim (DE).		<b>(81) Designated States:</b> AU, CA, JP, MX, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>With amended claims.</i>

**(54) Title:** ARTICLE FOR COLLECTING AND TRANSPORTING A SAMPLE TO BE ANALYZED AND PROCEDURE FOR DETERMINING AN ANALYTE

**(57) Abstract**

The subject of the invention is an article for collecting and transporting a sample to be analyzed; containing an absorbent matrix (22) to absorb a liquid sample, characterized in that the absorbent matrix (22) represents the sample collecting area (23) of a sample collecting element (24), which is an integral part of an envelope (21). In addition, the subject of the invention is a process for determining an analyte, in which liquid sample material is placed on an absorbent matrix (22), dried, sent to a laboratory and tested there, characterized in that the liquid sample material is placed on an absorbent matrix (22) that represents the sample collecting area (23) of a sample collecting element (24), which is an integral part of an envelope (21).



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synthetic fibers absorbs only relatively little humidity. This is also advantageous in determining correct quantitative analyte values. In addition the properties, in particular absorption properties, are hardly lot dependent, so better reproducible results can be achieved as was possible with the state of the art.

If necessary, the absorbent matrix of the article according to the invention can carry substances i.e. to increase wetting capability or for stabilizing the analyte to be determined. In particular, substances for stabilizing the analytes to be determined can be contained in or on the matrix. In a simple case, the matrix can be impregnated with the buffer of a specific pH value.

In a preferred embodiment of the article according to the invention, it is planned that certain data can be entered on the sample collecting element, e.g. patient data and data on the treating physician. Room for entering sample data can also be provided. In an especially preferred embodiment, the sample collecting element can have at least 1 label that can be used for sample identification. For example, this label can have a bar code in which data, e.g. name of the patient, sex, analyte to be determined are keyed, or other characteristics that can be used to identify the sample. In an extremely suitable embodiment of the article according to the invention, the sample collecting element has 2 labels with identical data. At least 1 label can be removed from the sample collecting element.

In addition, the sample collecting element can also have a temperature and/or humidity sensor in order to recognize whether the sample was exposed to a damaging temperature and/or humidity influence before the analysis. Appropriate sensors can be found, for example, in the form of stick-on labels that use color changes to show what temperature and/or humidity the sample element was exposed.

In order to mark the sample collecting area, it has proven to be especially user friendly if the area around the sample collecting area is designed so that it is visually striking. This can be done, for example, by using a noticeable color. In an especially preferred embodiment, at the location of the sample collecting element where the sample collecting area is located, a red mark in the form of a blood drop is applied. The cut-out in the sample collecting element is located at the thickest point of this spot, which is made visible by the white sample collecting area of the matrix. If another liquid is to be applied to the sample collecting element, the sample collecting area can be marked by a pictogram of this other sample material.

It is also possible to slightly raise the area surrounding the sample collecting area using an inert material, so that the probability of contact of the inside of the closed side of the envelope with the absorbent matrix of the sample collecting element and contamination of the closing flap is prevented still more. For example, a plastic ring or cardboard that surrounds the sample collecting area can be used as inert material.

As is known from standard commercial envelopes for letters, the article according to the invention can be provided with adhesive to close the envelope. Adhesive can be present such a way that it is dry and becomes sticky by being moistened. The adhesive can also be covered with a strip that is easy to pull off and reveals the sticky adhesive after it is pulled off. Preferably, the adhesive is applied to the back side of the closing flap of the envelope in such a way that when the envelope is closed, it comes in contact with the back side of the envelope outside the sample collecting element. Basically it is also possible to provide the closing flap with a quasi-3-sided adhesive around the circumference so that the envelope can be closed on all sides.

In the preferred embodiment of the article according to the invention, the envelope has perforations arranged parallel to and below the adhesive, most particularly preferred are two lines of perforations arranged in parallel which make it possible to separate the envelope at this point.

Instead of perforations, one or two parallel lines with thinner material cross section can be used as target breaking and/or target tearing points. The target breaking and/or target tearing points offer the advantage that the closed envelope can be opened easily and without danger of contamination so that the sample collecting element is easily accessible and can be taken out.

Figs. 1A and 1B show a sample collecting element and envelope according to the state of the art. Figs. 2A and 2B show a particularly preferred article according to the invention. Fig. 1A shows a view of a Guthrie test card as state of the art sample collecting element.

Fig. 1B shows a view of the back side of a standard commercial envelope for a letter, in which the Guthrie test card can be placed and sent.

Fig 2A shows a view of an article according to the invention that is open and ready to hold the sample.

Fig. 2B shows a view of an article according to the invention, closed and ready for transport after the sample has been applied.

Fig. 1A shows a Guthrie test card (1) that is used as a sample collecting element for the blood of newborns. The entire sample collecting element consists of the same filter paper material. The sample collecting areas (2) are marked by black circles on the white filter paper. Below the sample collecting areas (2) there are recommendations addressed to the person applying the sample. The remaining area on the test card (1) is for data to identify the clinic, the person giving the sample, the sample and possibly diseases and/or treatments of the person giving the sample.

After sampling, application of the liquid sample and drying of the sample, the Guthrie test card (1) is first packed in a plastic bag and then placed in a standard envelope for a letter (3), the back (4)

of which is shown in Fig. 1B. To close the envelope (3), the flap (5) of the back (4) is folded up and placed under the closing flap (6). Both flaps (5,6) are coated on the bottom with material that has an adhesive effect when brought into contact with the other. Therefore, the envelope (3) is closed with adhesive when the flap that is folded up (5) and the flap that is folded down (6) are pressed together. The envelope closed in this way is then sent to the testing laboratory.

Fig. 2A shows an article according to the invention for collecting and transporting an HbA<sub>1c</sub> sample. This analyte represents only a preferred example. Samples for determining other analytes can also be collected and transported with the article according to the invention. Basically this applies to all analytes that are brought into solution by corresponding elution agents and can then be measured in this solution. Basically these are all analytes that can also be determined using immunological test procedures. Without wanting to restrict the circle of possible analytes, those analytes should also be mentioned at this point that are used for detecting infectious diseases, e.g. virus antibodies or virus components for determination of hepatitis and HIV.

The article according to the invention shown in Fig. 2A has the shape of an envelope (21) suitable for postal use. On the front side of the envelope, an address is preprinted or there is room to enter an address. The back (30) of the envelope (21) has the sample collecting element (24), on which there is space to enter the patient address and the treating physician or clinic. The sample collecting element (24) is marked with a perforated line (25) and limited from the rest of the article according to the invention surrounding it by this perforated line (25). The perforation (5) [sic] makes it easier later to take the sample collecting element (24) out in the laboratory.

At a central point on the sample collecting element (24), there is the sample collecting area (23). It is designated with a round hole in the sample collecting element (24) and emphasized visually by a surrounding drop-shaped mark. Under the sample collecting area (23), there is an absorbent matrix (22), the contours of which are shown in dotted lines on Fig. 2A. The size of the sample

collecting area (23) depends on the sample quantity needed for the analysis, which is applied to the absorbent matrix (22) and has to be collected there. As a rule, the sample collecting area (23) has a diameter from 5 to 20 mm. The matrix (22) placed below the sample collecting area accordingly has a rectangular or square shape, in which the edge lengths are about 5 to 20 mm larger than the greatest extension of the sample collecting area (23). A fleece with weight per surface area of about  $60 \text{ g/m}^2$  is especially suitable as the absorbent matrix (22), which is produced in the following way. The process uses an oblique wire cloth machine (Voith, Heidenheim, Germany) as is used for paper production. The fibers suspended in water are pumped onto an oblique wire cloth. While the liquid flows off and/or is suctioned off using a vacuum, the fibers orient themselves on the surface of the wire cloth and are dried as fleece over a drying cylinder. Drying takes place at  $125^\circ\text{C}$ , until a final moisture of 0.5 - 1.5 weight-% is achieved. The suction and production speed are selected, at 2 m per minute, such that a material is made that has a weight per surface area of  $60 \text{ g/m}^2$ .

The following are used as raw materials:

- 80 parts polyester fiber, 1.7/6 mm (DuPont company)
- 20 parts viscose fibers, 1.7/6 mm (Rohtex Textil company)
- 20 parts Kuralon<sup>R</sup> (polyvinylalcohol) (Rohtex Textil company)

Weight per surface area:  $60 \text{ g/m}^2$

Thickness: 0.3 mm

Liquid absorption:  $530 \text{ ml/m}^2$

Beside the sample collecting area (23), the sample collecting element (24) has two sample identification labels that contain data for sample identification in a bar code. At least one of the labels can be taken off. However, instead of two sample identification labels, it is also possible to use only one label with bar code. Preferably however, this one label is removable.

On the side of the closing flap (29) that is to be brought into contact with the back (30) of the envelope, there is an adhesive (27) that is used to close the envelope (21). Below the adhesive (27), there are two perforated lines (28) parallel to each other, which make it easier to open the closed envelope (21). On the inside of the closing flap (29), there is also information on the procedure for the person taking the sample. The envelope material consists of thin cardboard that is coated on both sides with a water-repellant material.

For the sake of completeness, Figure 2B shows a view of the closed envelope.

When the article according to the invention is used as intended, the liquid sample to be tested, usually blood, but also other body fluids, e.g. urine, saliva or samples derived from the blood like plasma or serum are possible; is applied through the cut-out on the sample collecting element (24) onto the sample collecting area (23) of the absorbent matrix (22). If data are required for later identification of the sample, this data, e.g. patient address and name of the treating physician, can be noted on the sample collecting element (24) before or after the sample is applied.

The liquid sample penetrates quickly, i.e. within a few seconds, into the absorbent matrix and dries there. The envelope can then be closed. To do this the adhesive (27) is either moistened or revealed by pulling off a protective film. The inside of the closing flap (29) with the adhesive (27) is brought into contact with the back (30) of the envelope (21) so that the sample collecting element (24) is covered by the closing flap (29) in such a way that the sample collecting area (23) is no longer accessible from the outside and contamination can be prevented during transport. The envelope closed in this way is sent to a laboratory where the envelope can be opened by a strip that can be pulled out of the envelope along the perforated lines (28) and the closing flap (29) can thus be folded up again. The sample collecting element (24) that is made accessible again in this way with the sample collecting area (23) and the matrix (22) containing the sample is



then separated along the perforated line (25) from the back (30) of the envelope in the laboratory. A part of the matrix (22) that is then freely accessible in the sample collecting area (23) is removed in order to elute the analyte to be determined. As a rule, a piece, usually a round piece of matrix (22) is removed in the area of the sample collecting area (23) using a hole punch. These punched samples are generally 3 to 8 mm, but they can be larger or smaller depending on the size of the sample collecting area (23) and the quantity of sample necessary to determine the analyte. At least one of the identification labels (26) is taken off the sample collecting element (24) and affixed to the vessel in which the part of the matrix (22) containing the sample is placed. Depending on the analyte to be determined, elution and analyte determining measures are initiated, which are known to the person skilled in the art.

The explanations above show that using the article according to the invention, a unit for collecting and transporting a sample to be analyzed is created that represents a simplification, compared to the state of the art, both for the patient as well as in the laboratory. The patient or physician need only apply the liquid sample on the sample collecting area of the article according to the invention, close the envelope and send it to the laboratory. It is no longer necessary to handle a collection card containing a sample when sliding it into an envelope. In the laboratory, it is also possible, without danger of contamination, to open the article according to the invention and take out the sample collecting element. Since the sample collecting element can not move in the envelope during transport, smearing of the sample on the inside of the envelope is prevented. In addition the patient and/or the physician who takes the sample has fewer parts to store and the danger of losing a part is prevented. Because of this, it is also no longer necessary to replace lost parts with others that are less suitable but happen to be on hand. So, for example, the invention insures that an envelope is used that is of a quality such that it does not have a deleterious effect on the analyte in the sample.

### Patent Claims

1. Article for collecting and transporting a sample to be analyzed, containing an absorbent matrix to absorb a liquid sample, characterized in that the absorbent matrix contains the sample collecting area of a sample collecting element, which is an integral part of an envelope.
2. Article according to claim 1, characterized in that the sample collecting element can be removed from the envelope in a pre-determined size.
3. Article according to claim 1 or 2, characterized in that the sample collecting area consists of a material different from the sample collecting element.
4. Article according to claim 3, characterized in that the sample collecting element surrounding the sample collecting area is not as good at absorbing liquid as the matrix of the sample collecting area.
5. Article according to one of claims 1-4, characterized in that the sample collecting element can be separated from the envelope along a perforation or a line with a thinner material cross section than that of the material surrounding it.
6. Article according to one of claims 1-5, characterized in that the sample collecting element provides room to enter patient and/or sample data.
7. Article according to one of the preceding claims, characterized in that patient and/or sample identification data is on at least 1 label in readable or coded form, whereby at least 1 label is removable.

8. Article according to one of claims 1-7, characterized in that an inert material is mounted around the sample collecting area as a raised area.
9. Article according to one of claims 1-8, characterized in that it carries a temperature and/or moisture sensor.
10. Article according to one of claims 1-9, characterized in that the matrix of the sample collecting area is a fleece that contains synthetic fibers.
11. Article according to patent claim 10, characterized in that the fleece contains
  - a) fibers based on cellulose,
  - b) polymer fibers on the basis of polyester and/or polyamide and
  - c) organic binder that has OH and/or ester groups.
12. Article according to one of the preceding claims, characterized in that the envelope is provided on one end with an adhesive to close the envelope.
13. Article according to one of the preceding claims, characterized in that the envelope has a line of perforations or a line with material cross section that is thinner than the material in the surrounding area, between adhesive and sample collecting element, so that the envelope can be opened along this line.
14. Article according to patent claim 13, characterized in that perforations or lines of thinner material cross section are arranged as 2 parallel lines so that the material located between these lines can be removed from the envelope.

15. Process for determining an analyte whereby liquid sample material that is suspected of containing the analyte to be determined is placed on an absorbent matrix, dried, sent to a laboratory and tested there, characterized in that the liquid sample material is placed on an absorbent matrix that represents the sample collecting area of a sample collecting element, which is an integral part of an envelope.
16. Process according to claim 15, characterized in that a part of the absorbent matrix that has absorbed the sample is removed from the sample collecting element and is tested for the presence, and if necessary quantity, of analyte.

**AMENDED CLAIMS**

[received by the International Bureau on 30 April 1997(30.04.97);  
original claims 1-16 replaced by new claims 1-14 (3pages)]

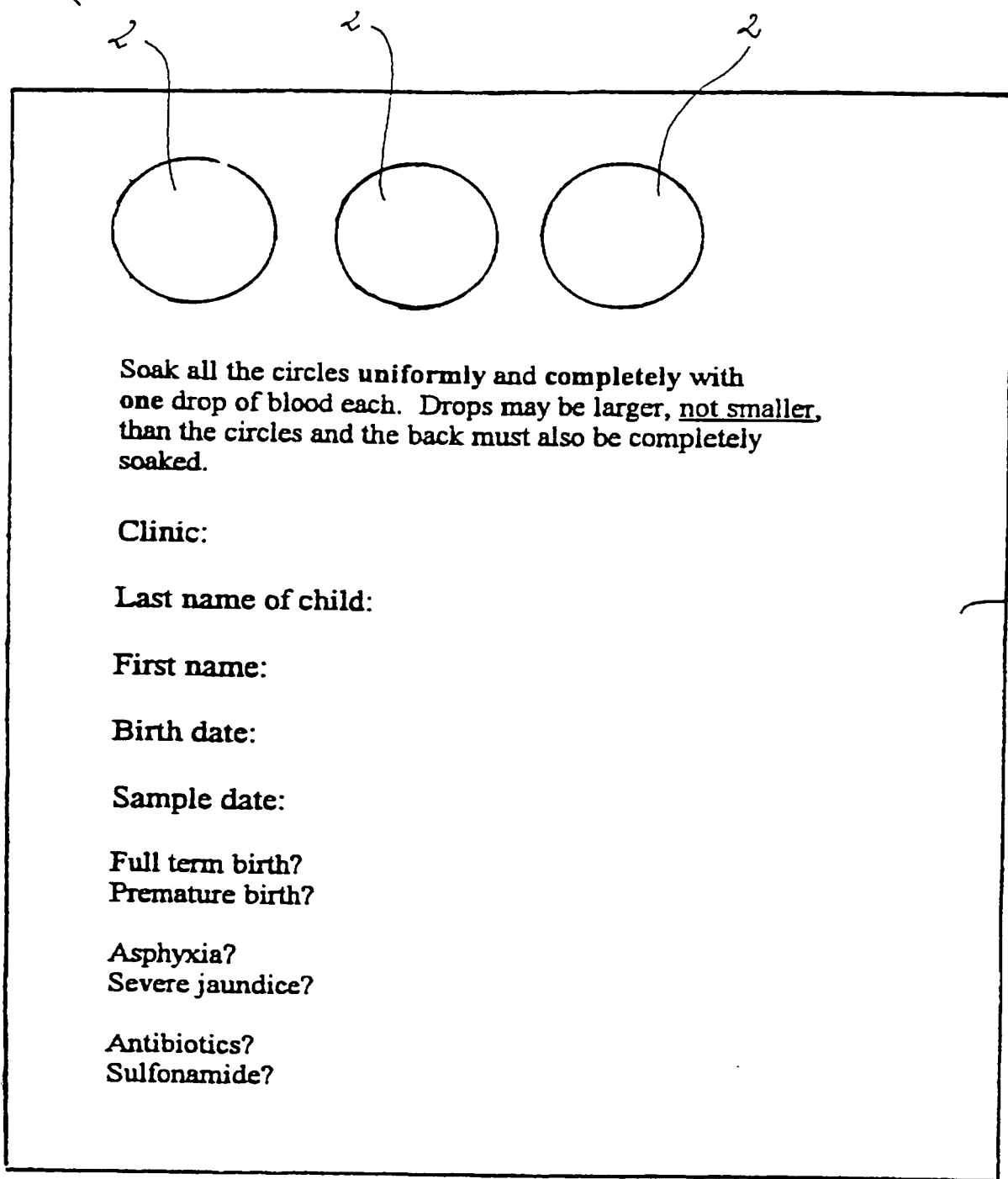
1. Article for collecting and transporting a sample to be analyzed, containing an absorbent matrix to absorb a liquid sample, characterized in that the absorbent matrix contains the sample collecting area of a sample collecting element, which is an integral part of an envelope, whereby the sample collecting element can be removed from the envelope in a pre-determined size and the sample collecting element provides room to enter patient and/or sample data.
2. Article according to claim 1, characterized in that the sample collecting area consists of a material different from the sample collecting element.
3. Article according to claim 2, characterized in that the sample collecting element surrounding the sample collecting area is not as good at absorbing liquid as the matrix of the sample collecting area.
4. Article according to one of claims 1-3, characterized in that the sample collecting element can be separated from the envelope along a perforation or a line with a thinner material cross section than that of the material surrounding it.
5. Article according to one of the preceding claims, characterized in that patient and/or sample identification data is on at least 1 label in readable or coded form, whereby at least 1 label is removable.
6. Article according to one of claims 1-5, characterized in that an inert material is mounted around the sample collecting area as a raised area.

**AMENDED SHEET (ARTICLE 19)**

7. Article according to one of claims 1-6, characterized in that it carries a temperature and/or moisture sensor.
8. Article according to one of claims 1-7, characterized in that the matrix of the sample collecting area is a fleece that contains synthetic fibers.
9. Article according to patent claim 8, characterized in that the fleece contains
  - a) fibers based on cellulose,
  - b) polymer fibers on the basis of polyester and/or polyamide and
  - c) organic binder that has OH and/or ester groups.
10. Article according to one of the preceding claims, characterized in that the envelope is provided on one end with an adhesive to close the envelope.
11. Article according to one of the preceding claims, characterized in that the envelope has a line of perforations or a line with material cross section that is thinner than the material in the surrounding area, between adhesive and sample collecting element, so that the envelope can be opened along this line.
12. Article according to patent claim 11, characterized in that perforations or lines of thinner material cross section are arranged as 2 parallel lines so that the material located between these lines can be removed from the envelope.

13. Process for determining an analyte whereby liquid sample material that is suspected of containing the analyte to be determined is placed on an absorbent matrix, dried, sent to a laboratory and tested there, characterized in that the liquid sample material is placed on an absorbent matrix that represents the sample collecting area of a sample collecting element, which is an integral part of an envelope, whereby the sample collecting element can be removed from the envelope in a pre-determined size and the sample collecting element provides room to enter patient and/or sample data.
14. Process according to claim 13, characterized in that a part of the absorbent matrix that has absorbed the sample is removed from the sample collecting element and is tested for the presence, and if necessary quantity, of analyte.

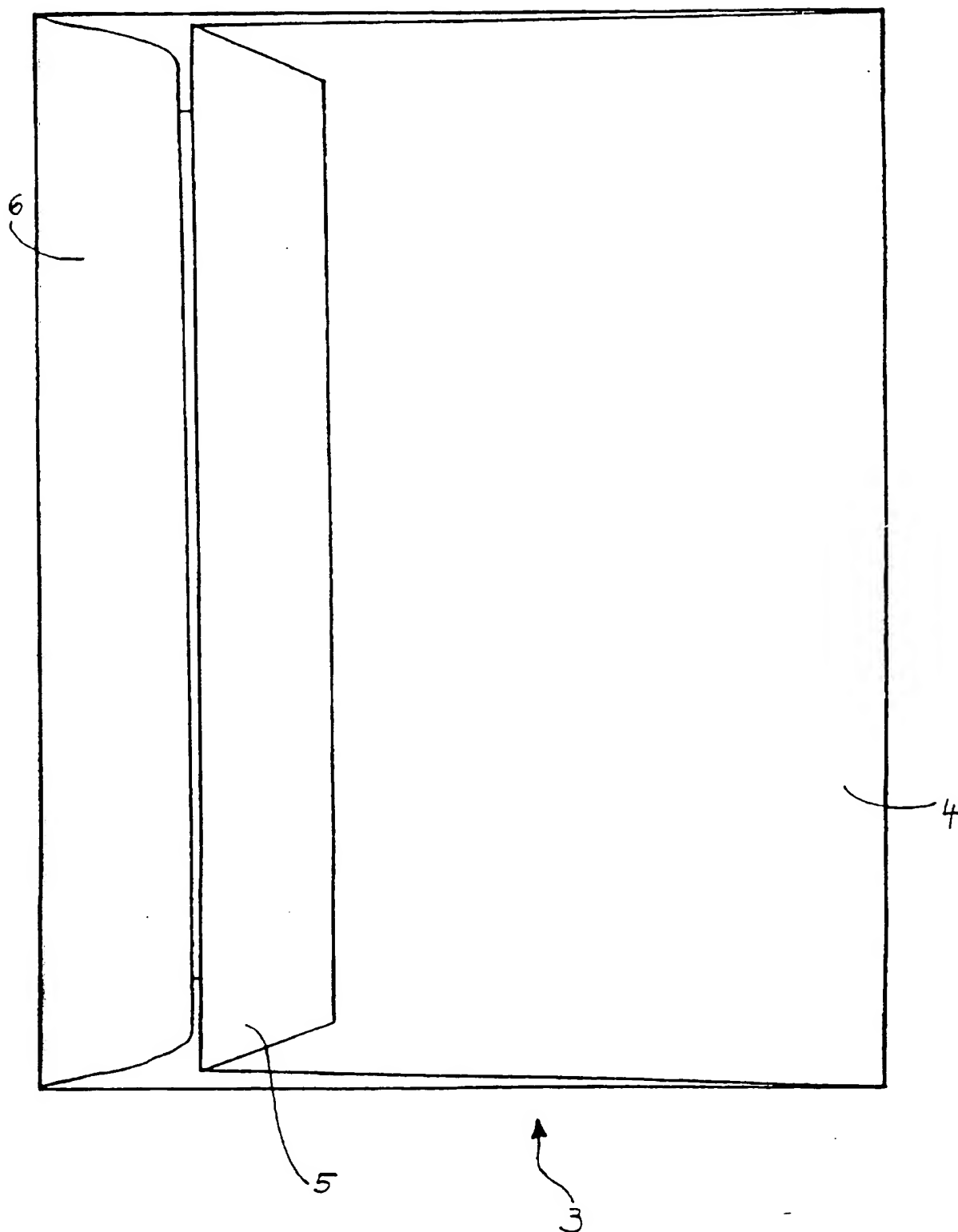
Fig. 1A





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Fig. 1B



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Fig. 2A

21

27

28

**Hemoglobin Alc Sample Collection**  
**Instructions:**

1. Prick finger
2. Add blood to white circle area
3. Allow blood to dry for 30 minutes
4. Seal mailer

29

23

22

26

30

24

25

fill completely

Name: \_\_\_\_\_

Street: \_\_\_\_\_

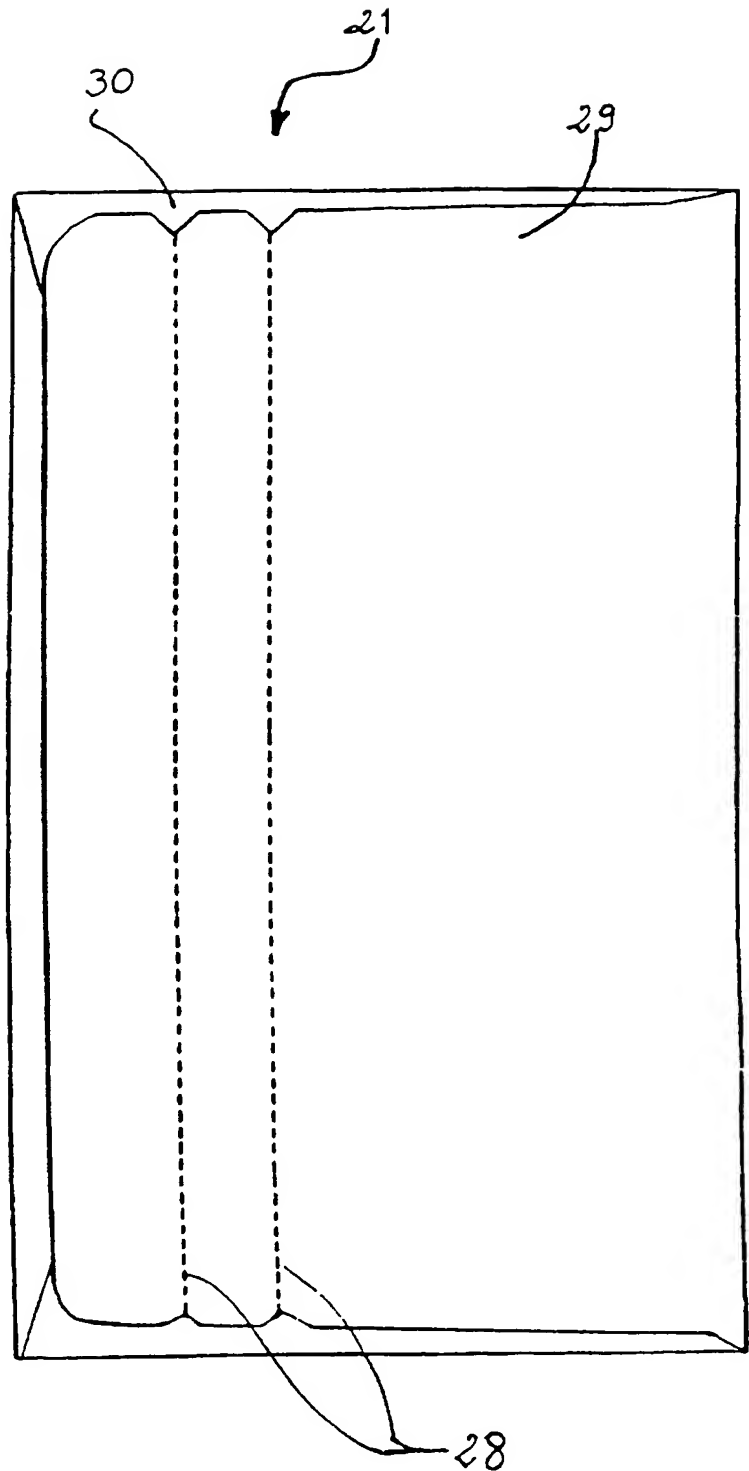
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Doctor's name: \_\_\_\_\_

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

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Fig. 2 B



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# INTERNATIONAL SEARCH REPORT

In. .tional Application No  
PCT/EP 96/05185

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 B01L3/00 G01N33/483 A61B10/00 G01N1/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 B01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 273 741 A (LEVINE ROBERT A) 16 June 1981 see column 1, line 46 - line 68 see column 2, line 25 - line 27 see column 3, line 4 - column 5, line 38; figures	1,3,4,8, 15
A	EP 0 583 078 A (WALLAC OY) 16 February 1994 see page 2, line 1 - line 20	1-4,6-8, 15,16
A	see page 4, line 32 - line 53 see page 5, line 36 - page 6, line 38; claims 12-14; figures 3-10	2

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

27 February 1997

Date of mailing of the international search report

12.03.97

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# INTERNATIONAL SEARCH REPORT

Int. l. Application No

PCT/EP 96/05185

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 90 03927 A (PACIFIC BIOTECH INC) 19 April 1990	1
A	see page 5, line 8 - line 13	
X	see page 6, line 7 - line 32; figures 1,3	6,8
Y	see page 12, line 19 - line 23	10,11
X	see page 15, line 33 - page 17, line 16	2-4,15, 16
Y	see page 19, line 10 - line 36	12
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